

Title	
	IIOM Code of Conduct
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# **Change Record**

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### 1 Introduction

In its guidance<sup>1</sup> the Engineering Council states that: -

The Engineering Council has a duty through its Charter (Article 4.c.) to 'provide guidance on the codes of conduct and disciplinary procedures of Licensed Members and Professional Affiliates'. Requirements to prescribe standards and procedures to the satisfaction of The Engineering Council Board are a condition for the issue of a Licence (Bye-law 15) or approval of Professional Affiliate status (Bye-law 24).

The International Institute of Obsolescence Management (IIOM) has resolved to achieve and maintain Professional Affiliate status on behalf of all IIOM Chapters. The IIOM International Board has therefore established, and will maintain, a Code of Conduct (this document) and Disciplinary Procedure<sup>2</sup> which aim to satisfy the requirements of the Engineering Council Board.

IIOM has no statutory or regulatory authority, but all members of each IIOM Chapter accept a personal obligation to comply with the Code of Conduct as part of their contract of membership on first subscribing to the Institute and at each renewal.

The Code of Conduct and Disciplinary Procedure are regularly reviewed. The IIOM International Board welcomes feedback and suggestions for improvements that may help to keep them relevant within IIOM and compliant with the requirements of the Engineering Council.

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<sup>&</sup>lt;sup>1</sup> Engineering Council: *Guidance on Disciplinary Procedures*. Revision 2017/1. Approved 15-Jun-2017. Last accessed 11-Jul-2017 at <a href="https://partner.engc.org.uk/institution-guidance/governance-guidance/">https://partner.engc.org.uk/institution-guidance/governance-guidance/</a>

<sup>&</sup>lt;sup>2</sup> IIOM: *Disciplinary Procedure*. Identity 190722. Version 1. Issued 22-Jul-2019.



# 2 Scope

The IIOM International Board has resolved that the Code of Conduct and Disciplinary Procedure are applicable to all 'individual Institute Members' whether or not they are also Engineering Council Registrants. The rationale is that everyone should aspire to the same high standards in professional life. The definition of an individual Institute Member is an individual person within the category of Honorary Member, Fellow (FIIOM), Full Member (MIIOM), Associate (AIIOM), Affiliate, or Student.

The Code of Conduct and Disciplinary Procedure do not apply to 'corporate Institute Members' such as public companies, private companies, partnerships or academic institutions, but do apply to their representatives who are also 'individual Institute Members' in their own right.

For brevity, within this document 'individual Institute Members' are referred to as 'members'.

## 3 Principles

Issue 3 of Code of Conduct (this document) sets out principles which are inherited from:

- the 'Statement of Ethical Principles' (Revision 2017)<sup>3</sup> published by the Engineering Council and the Royal Academy of Engineering (refer to paragraphs 3.1 to 3.4), and
- additional requirements specified in the 'Guidance for Institution Codes of Professional Conduct' (Revision 2017/1)<sup>4</sup> published by the Engineering Council (refer to paragraph 3.5).

#### Note:

By complying with the Code of Conduct, IIOM members who decide to apply for Professional Registration with the Engineering Council as Engineering Technicians, Incorporated Engineers, or Chartered Engineers can immediately demonstrate that they satisfy item E1 in the UK-SPEC Third Edition5 'Table of Competence and Commitment Standards for EngTech, IEng and CEng'.

Members are also more likely to be able to satisfy item E5 where UK-SPEC asks for examples of where they have applied ethical principles.

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<sup>&</sup>lt;sup>3</sup> Engineering Council & Royal Academy of Engineering: *Statement of Ethical Principles*. Last accessed 11-Jul-2019 at <a href="https://www.engc.org.uk/standards-guidance/guidance/statement-of-ethical-principles/">https://www.engc.org.uk/standards-guidance/guidance/statement-of-ethical-principles/</a>

<sup>&</sup>lt;sup>4</sup> Engineering Council: *Guidance for Institution Codes of Professional Conduct*. Revision 2017/1. Approved 15-Jun-2017. Last accessed 11-Jul-2019 at <a href="https://partner.engc.org.uk/institution-guidance/governance-guidance-gui

<sup>&</sup>lt;sup>5</sup> Engineering Council: *UK Standard for Professional Engineering Competence*, Third Edition. Last accessed on 11-Jul-2019 at https://www.engc.org.uk/EngCDocuments/Internet/Website/UK-SPEC third edition (1).pdf



### 3.1 Honesty and Integrity

Engineering professionals have a duty to uphold the highest standards of professional conduct including openness, fairness, honesty and integrity. Members of IIOM shall:

- act in a reliable and trustworthy manner
- be alert to the ways in which their work and behaviour might affect others and respect the privacy, rights and reputations of other parties and individuals
- respect confidentiality
- declare conflicts of interest
- avoid deception and take steps to prevent or report corrupt practices or professional misconduct
- reject bribery and improper influence

#### 3.2 Respect for Life, Law, the Environment and Public Good

Engineering professionals have a duty to obey all applicable laws and regulations and give due weight to facts, published standards and guidance and the wider public interest. Members of IIOM shall:

- hold paramount the health and safety of others and draw attention to hazards
- ensure their work is lawful and justified
- recognise the importance of physical and cyber security and data protection
- respect and protect personal information and intellectual property
- protect, and where possible improve, the quality of built and natural environments
- maximise the public good and minimise both actual and potential adverse effects for their own and succeeding generations
- take due account of the limited availability of natural resources
- uphold the reputation and standing of the profession

#### 3.3 Accuracy and Rigour

Engineering professionals have a duty to acquire and use wisely the understanding, knowledge and skills needed to perform their role. Members of IIOM shall:

- always act with care
- perform services only in areas in which they are currently competent or under competent supervision
- keep their knowledge and skills up to date
- assist the development of engineering knowledge and skills in others
- present and review theory, evidence and interpretation honestly, accurately, objectively and without bias, while respecting reasoned alternative views

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- identify, evaluate, quantify, mitigate and manage risks
- not knowingly mislead or allow others to be misled

### 3.4 Leadership and Communication

Engineering professionals have a duty to abide by and promote high standards of leadership and communication. Members of IIOM shall:

- be aware of the issues that engineering and technology raise for society, and listen to the aspirations and concerns of others
- promote equality, diversity and inclusion
- promote public awareness and understanding of the impact and benefits of engineering achievements
- be objective and truthful in any statement made in their professional capacity
- challenge statements or policies that cause them professional concern

#### 3.5 Additional Requirements

Members of IIOM shall:

- uphold the reputation and standing of the Institution;
- observe the provisions of the Institution's governing document and supporting rules and regulations (including but not limited to the Articles of Association for IIOM International, the Articles of Association or equivalent documents for the IIOM Chapter to which they are affiliated, the Delegation Agreement for the IIOM Chapter to which they are affiliated, the Members Handbook, and this Code of Conduct);
- accept appropriate responsibility for work carried out under their supervision;
- assess relevant liability, and if appropriate hold professional indemnity insurance;
- support a colleague or any other person to whom they have a duty of care who in good faith raises any concern about a danger, risk, malpractice or wrongdoing which affects others ('blows the whistle');
- notify the Institution if they have:
  - ⇒ received a criminal conviction, or an adverse civil court judgement, related to any aspect of the Institution's Code of Conduct (regardless of the legal jurisdiction), or
  - ⇒ been declared bankrupt or disqualified as a Company Director or Charity Trustee, or
  - ⇒ had membership of another professional body terminated as the result of a disciplinary procedure;
- notify the Institution of any significant violation of the Institution's Code of Conduct by another member.

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